

SEP 03 2008

PTOL-413A (10-07)

Approved for use through 06/30/2008. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## Applicant Initiated Interview Request Form

Application No.: 10/699,871 First Named Applicant: Todd C. Werden  
 Examiner: Aawaad Haider Art Unit: 3627 Status of Application: Under Final Rejection

## Tentative Participants:

(1) Mary E. Goulet (Reg. No. 35, 884) (2) \_\_\_\_\_  
 (3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: Sept. 5, 2008 Proposed Time: 1:00 (AM/PM)

## Type of Interview Requested:

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

## Issues To Be Discussed

Issues (Rej., Obj., etc.)	Claims / Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Objection</u>	<u>1</u>	<u>N/A</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>103(a) Rejection</u>	<u>1</u>	<u>Swartz, Rafii</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

## Brief Description of Arguments to be Presented:

Please see the attached draft 116 Amendment.

An interview was conducted on the above-identified application on \_\_\_\_\_

**NOTE:** This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

*Mary E. Goulet*

Applicant / Applicant's Representative Signature

Mary E. Goulet

Typed/Printed Name of Applicant or Representative

35,884

Registration Number, if applicable

Examiner / SPE Signature

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.** SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**RECEIVED  
CENTRAL FAX CENTER**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Todd C. Werden

Serial No. 10/699,871

Filed November 24, 2003

Confirmation No. 5120

Group Art Unit 3627

Examiner Fawaad Haider

For: BUSINESS SYSTEM AND METHOD FOR A  
VIRTUAL POINT OF SALE SYSTEM IN A  
RETAIL STORE

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

**DRAFT**

## AMENDMENT UNDER 37 C.F.R. §1.116

Sir:

This responds to the Office Action mailed Jul 24, 2008.

The listing of the claims begins at page 2 of this paper.

The "Remarks" section begins at page 4 of this paper.

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**RECEIVED  
CENTRAL FAX CENTER****SEP 03 2008****LISTING OF THE CLAIMS:**

1 1. (Currently Amended) A virtual Point-of-Sale (POS) system for a retail store  
2 comprising:  
3 a remote activator device carried by a sales associate and connected by a computer  
4 network installed in the retail store to a computer with installed back office application  
5 software for at least one of accounting and inventory;  
6 a positioning system which recognizes a signal from the remote activator device  
7 and determines where and how to find ~~a an appropriate~~ blank surface near the sales  
8 associate's actual location;  
9 an integrated projector and camera assembly responsive to the positioning system  
10 and which rotates to a correct position and displays a POS application on a the blank  
11 surface near the location of the sales associate, the camera assembly detecting entries in  
12 the POS application by the sales associate as interactions with the displayed POS  
13 application;  
14 a scanner used by the sales associate for scanning merchandise codes to enter  
15 purchases in the displayed POS application; and  
16 a magnetic stripe reader for reading a credit or debit card to complete transaction  
17 in the displayed POS application.

1 2. (Original) The virtual Point-of-Sale (POS) system for a retail store recited in claim 1,  
2 wherein said remote activator device, said scanner and said magnetic stripe reader are  
3 each part of an integrated device carried by the sales associate.

1 3. (Original) The virtual Point-of-Sale (POS) system for a retail store recited in claim 2,  
2 wherein in the integrated device further includes a printer for printing a sales receipt.

1 4. (Original) The virtual Point-of-Sale (POS) system for a retail store recited in claim 1,

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2 wherein the signal from the remote activator is a wireless signal conforming to a  
3 standardized protocol.

1 5. (Original) The virtual Point-of-Sale (POS) system for a retail store recited in claim 1,  
2 wherein the positioning system uses a positioning algorithm to determine where and how  
3 to find a blank surface near the sales associate's actual location.

1 6. (Original) The virtual Point-of-Sale (POS) system for a retail store recited in claim 1,  
2 wherein the remote activator device transmits location information to the positioning  
3 system for use in determining where and how to find a blank surface near the sales  
4 associate's actual location.

7-10. (Canceled)

1 11. (Previously Presented) The virtual Point-of-Sale (POS) system for a retail store  
2 recited in claim 1, wherein said computer is a server.

1 12. (Previously Presented) The virtual Point-of-Sale (POS) system for a retail store  
2 recited in claim 1, wherein said computer is connected to a credit card processor.

1 13. (Previously Presented) The virtual Point-of-Sale (POS) system for a retail store  
2 recited in claim 11, wherein the computer connection to the credit card processor is made  
3 using a dial-up network.

1 14. (Previously Presented) The virtual Point-of-Sale (POS) system for a retail store  
2 recited in claim 11, wherein the computer connection to the credit card processor is made  
3 using a VPN.

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1 15. (Previously Presented) The virtual Point-of-Sale (POS) system for a retail store  
2 recited in claim 11, wherein the credit card processor is connected to at least one of an  
3 outside bank and an outside credit institution.

1 16. (Previously Presented) The virtual Point-of-Sale (POS) system for a retail store  
2 recited in claim 2, wherein said integrated device further comprises a keypad.

1 17. (Previously Presented) The virtual Point-of-Sale (POS) system for a retail store  
2 recited in claim 15, wherein said keypad may be used for entering a PIN number.

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**REMARKS**

Claims 1-6 and 10-17 are currently pending in the application. Claim 1 is amended above.

In paragraph 1 of the office action, an objection has been made to the term “appropriate” in claim 1. To advance prosecution, the objected-to word has been deleted. *See, e.g.*, Applicant’s specification at page 3, lines 14-15 (“a blank space”).

In paragraph 2 of the office action, a proposal has been made to replace “camera” with “camera assembly” for which there is antecedent basis. Above Claim 1 has been amended as suggested by the Examiner on this point.

Claims 1-6 and 11-17 have been rejected under 35 U.S.C. 103(a) as being unpatentable over Swartz in view of Rafii. The Examiner admits that Swartz fails to disclose a positioning system and an integrated projector and camera assembly. (Final office action, page 3.)

Applicants respectfully traverse the obviousness rejection.

A person of ordinary skill in Applicants’ art at the time of the invention would not have read Swartz and Rafii in the manner proposed by the Examiner. Rather, the natural, objective thinking of such a person would have been as follows.

The person of ordinary skill in the art sees that Swartz is directed to a portable self-service check-out terminal. Referring to Fig. 1, there is a device which can be carried around a store and pointed at bar codes 10. The Swartz concept projects that the consumers themselves will do the scanning as they walk about the store. A person of ordinary skill in the art at the time of Applicants’ invention is thinking that when a consumer is to be doing the scanning of to-be-purchased items himself, simplicity of the scanning event is needed. Swartz provides for simplicity, in that all that is expected of the consumer is to point the portable scanner at the bar coded items as the consumer walks about the store, reducing the number of items that the cashier has to process.

First, the person of ordinary skill in the art simply would not think to try to complicate things for the consumer in Swartz by introducing from Rafii a requirement for

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the consumer to walk about the store creating a virtual keyboard. Moreover, a person of ordinary skill in the art would recognize that the kinds of stores in which a consumer self-check-out could be used are at the same time the kinds of stores that by their nature are full of consumers and to-be-sold products; there simply is not physical space as a practical matter for consumers to be setting up virtual keyboards all over the place. A person of ordinary skill in the art would recognize that grocery consumers are in each others' way quite enough already as it is and that there is a societal expectation that shoppers will obtain their items and move out of the way rather promptly. Shoppers will not have patience for other shoppers' setting up virtual keyboards throughout the store. A modification to Swartz which would both complicate matters for the consumer and require the store to make all sorts of changes to its shelving and surface areas throughout the store simply would not be a direction that a person of ordinary skill in the art would go.

Applicants' claim 1 is substantially removed from Swartz and is quite out of reach of a person of ordinary skill in the art at the time of Applicants' invention, such a person of course not having the benefit of Applicants' specification and claims. A person of ordinary skill in the art reading Swartz would lack motivation to gut Swartz' focus on reducing cashier workload (i.e., by getting simple portable scanners in the hands of shoppers) and would lack motivation to reintroduce the very thing that Swartz is trying to reduce and avoid (namely, the cashier's participation). To such a person of ordinary skill in the art, Swartz and Rafii would simply be read as separate concepts.

Reconsideration and withdrawal of the obviousness rejection are respectfully sought.

In view of the foregoing, Applicant submits that Claims 1-7 and 10-16 are in condition for allowance. The Examiner is respectfully requested to pass the above application to issue. The Examiner is invited to contact the undersigned at the telephone number listed below, if needed.

Applicant hereby makes a written conditional petition for extension of time, if

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required. Please charge any deficiencies in fees and credit any overpayment of fees to Applicants' Deposit Account No. 50-0510 (IBM Corporation).

Respectfully submitted,

Mary E. Goulet  
Registration No. 35,884

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